

## 2020 Ordinary Income and Capital Gain Distributions - FINAL

Based on NAV and shares outstanding as of 12/28/2020

								PER SHARE as of 12/28/2020 - FINAL					
Issue Symbol	CUSIP	Registered Issue Name	Declared Date	Ex-Date	Record Date	Pay Date	Exchange	Income Distribution	Short-Term Capital Gains Distribution	Long-Term Capital Gains Distribution	Total Distribution	12/28/2020 NAV	Approx Distribution (% of NAV)
ARKQ	00214Q203	ARK Autonomous Technology & Robotics ETF	12/28/20	12/29/20	12/30/20	12/31/20	CBOE BZX	-	0.630	0.026	0.656	75.830	0.87%
ARKW	00214Q401	ARK Next Generation Internet ETF	12/28/20	12/29/20	12/30/20	12/31/20	NYSE Arca	-	1.894	-	1.894	147.700	1.28%
ARKK	00214Q104	ARK Innovation ETF	12/28/20	12/29/20	12/30/20	12/31/20	NYSE Arca	-	1.628	0.417	2.045	129.830	1.58%
ARKG	00214Q302	ARK Genomic Revolution ETF	12/28/20	12/29/20	12/30/20	12/31/20	CBOE BZX	-	0.426	0.365	0.791	101.500	0.78%
ARKF	00214Q708	ARK Fintech Innovation ETF	12/28/20	12/29/20	12/30/20	12/31/20	NYSE Arca	-	0.157	0.028	0.185	49.180	0.38%
PRNT	00214Q500	The 3D Printing ETF	12/28/20	12/29/20	12/30/20	12/31/20	CBOE BZX	-	-	-	-	31.620	0.00%
IZRL	00214Q609	ARK Israel Innovative Technology ETF	12/28/20	12/29/20	12/30/20	12/31/20	CBOE BZX	-	-	-	-	29.820	0.00%

19A Notice. The Funds will be declaring per share distributions. In compliance with Rule 19a-1 of the Investment Company Act of 1940, each distribution details to shareholders the source of income for each dividend such as net investment income, gain from the sale of securities and return of principal. Please note: Determination of the actual source of the fund's dividend can only be made at year-end. The actual source amounts of all fund dividends will be included in the fund's annual or semiannual reports. In addition, the tax treatment may differ from the accounting treatment used to calculate the source of the fund's dividends as shown on your statement. Please refer to your Form 1099-DIV for the character and amounts of distributions for income tax reporting purposes. Since each shareholder's tax situation is unique, please consult your tax advisor as to the appropriate treatment of fund distributions.

Per share distribution rates will change prior to ex-dividend date as shares outstanding will be updated for Record Date shares. Income distribution is estimated through December 31st and could possibly change prior to ex-dividend date. If you purchase a share of a fund on its ex-dividend date or after, you will not receive the next dividend payment. Instead, the seller gets the dividend. If you purchase before the ex-dividend date, you get the dividend. <https://www.sec.gov/fast-answers/answers-dividenhtm.html>

Transactions in shares of exchange-traded funds (ETFs) will result in brokerage commissions and will generate tax consequences. All regulated investment companies are obliged to distribute portfolio gains to shareholders.

This material represents the distribution per share as of 12/28/20. It does not take into account any possible tax reclassifications, nor contemplate changes in income or shares outstanding that may occur prior to record date. The information provided is for informational purposes only.

The information provided is not intended to be tax advice. Investors should consult their tax professionals or financial advisors for more information regarding their specific tax circumstances.

To receive a distribution, you must be a registered shareholder of the fund on the record date of 12/30/20. Distributions are paid to shareholders on the payment date of 12/31/20.

Past distributions are not indicative of future distributions.

**Investors should carefully consider the investment objectives and risks as well as charges and expenses of an ARK ETF before investing. This and other information are contained in the ARK ETFs' prospectuses, which may be obtained by visiting [www.ark-funds.com](http://www.ark-funds.com). The prospectus should be read carefully before investing.** An investment in an ARK ETF is subject to risks and you can lose part or all of your investment in an ARK ETF. There can be no assurance that the ARK ETFs will achieve their investment objectives. The ARK ETFs' portfolios are more volatile than broad market averages. The ARK ETFs also have specific risks, which are described below. More detailed information regarding these risks can be found in the ARK ETFs' prospectuses.

The principal risks of investing in the ARK ETFs include: **Equity Securities Risk:** The value of the equity securities the Fund holds may fall due to general market and economic conditions. **Foreign Securities Risk:** Investments in the securities of foreign issuers involve risks beyond those associated with investments in U.S. securities. **Health Care Sector Risk:** Companies in the health care sector may be adversely affected by government regulations and government health care programs. **Industrials Sector Risk:** Companies in the industrials sector may be adversely affected by changes in government regulation, world events, economic conditions, environmental damages, product liability claims and exchange rates. **Information Technology Sector Risk:** Information technology companies face intense competition, both domestically and internationally, which may have an adverse effect on profit margins. **Bitcoin Risk:** Bitcoin operates without central authority or banks and is not backed by any government. Even indirectly, bitcoin may experience very high volatility and related investment vehicles like the Bitcoin Investment Trust may be affected by such volatility. Funds holding bitcoin may also trade at a significant premium to NAV. **Bitcoin Tax Risk:** The Bitcoin Investment Trust is a grantor trust for U.S. federal income tax purposes. As a result, the Bitcoin Investment Trust itself is not subject to U.S. federal income tax and as such, any income and expenses "flow through" to the Shareholders. **Bitcoin Regulatory Risk:** Federal, state or foreign governments may restrict the use and exchange of bitcoin, and regulation in the U.S. is still developing. Please see the ARK ETFs' current prospectuses for more detailed descriptions of the risks of investing in the ARK ETFs.

Additional risks of investing in ARK ETFs include market, management and non-diversification risks, as well as fluctuations in market value and net asset value ("NAV"). Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the ETF. ETF shares may only be redeemed directly with the ETF at NAV by Authorized Participants, in very large creation units. There can be no guarantee that an active trading market for ETF shares will develop or be maintained, or that their listing will continue or remain unchanged. Buying or selling ETF shares on an exchange may require the payment of brokerage commissions and frequent trading may incur brokerage costs that detract significantly from investment returns.

Portfolio holdings will change and should not be considered as investment advice or a recommendation to buy, sell or hold any particular security. Please visit [www.ark-funds.com](http://www.ark-funds.com) for the most current list of holdings for the ARK ETFs.

Certain information was obtained from sources that ARK believes to be reliable; however, ARK does not guarantee the accuracy or completeness of any information obtained from any third party.

Foreside Fund Services, LLC, distributor.