

# ARK DIET Q4 Buffer ETF

ARKT | Cboe BZX Exchange, Inc.



SEMI ANNUAL SHAREHOLDER REPORT | JANUARY 31, 2026

This semi-annual shareholder report contains important information about ARK DIET Q4 Buffer ETF (the "Fund") for the period of October 1, 2025 to January 31, 2026. You can find additional information about the Fund at <https://www.ark-funds.com/download-fund-materials/>. You can also request this information by contacting us at (727) 810-8160.

## What were the Fund's cost for the period?

(based on a hypothetical \$10,000 investment)

Fund	Costs of a \$10,000 investment	Costs paid as a percentage of a \$10,000 investment
ARK DIET Q4 Buffer ETF	\$9 <sup>(1)</sup>	0.28%

<sup>(1)</sup> Based on the period October 1, 2025 (commencement of operations) through January 31, 2026. Expenses would have been higher if based on the full reporting period.

## Fund Statistics

The following table outlines key Fund statistics that you should pay attention to:

Fund net assets	\$2,768,364
Total number of portfolio holdings	4
Period portfolio turnover rate	29%

## Graphical Representation of Holdings

The table below shows the investment makeup of the Fund. The allocations may not be representative of the Fund's future investments.

Sector Diversification	% of Total Investments
Equity Fund	86.3%
Put	9.1%
Call	3.8%
Financials (Money Market Fund)	0.8%
Total	100.0%

## Availability of Additional Information

If you wish to find additional information about the Fund such as the prospectus, financial information, fund holdings and proxy voting information, please see the website address <https://www.ark-funds.com/download-fund-materials/> or contact number (727) 810-8160 included at the beginning of this shareholder report.